

Swiss Confederation

Federal Department of Finance FDF

Federal Office for Customs and Border Security FOCBS Trade in goods

Origin

December 2023

Factsheet

For determining the formal validity of proofs of origin

Change on 14.11.2022: see green marked part, par. <u>1.1</u>, <u>1.1.1</u> and <u>2.1.1</u>

Change on 21.12.2022: see blue marked part, par. 1.1.1 and 2.1.1

Change on 08.12.2023: see yellow marked part, par. <u>4.1.1</u>

The purpose of this guide is to provide assistance to persons subject to the declaration obligation to check the formal validity of proofs of origin. If the declarant does not verify the validity of the proof of origin based on the information contained in this fact sheet, he doesn't comply with due diligence.

The respective agreements and national legislation are legally binding. If in doubt, the customs offices will provide further information.

Index

1	Movement Certificate (MC) EUR. 1	3
1.1	General	4
1.1.1	Digitally issued MC EUR. 1	6
1.2	Importation of goods within the scope of the EEA (European Economic Area) to Liechtenstein	7
2	MC EUR-MED	8
2.1.1	Digitally issued MC EUR-MED	9
3	Certificate of Origin for Japan-Switzerland	10
4	Certificate of Origin GCC-EFTA	12
4.1.1	Digitally issued Certificates of origin from GCC	14
5	Certificate of Origin for China-Switzerland	15
5.1	China Council for the Promotion of International Tarde (CCPIT)	15
5.2	General Administration of Customs of the People's Republic of China (GACC)	19
5.3	Examples of invalid Certificates of Origin CCPIT and GACC	22
5.4	In the case of manually issued Chinese Certificate of Origin (CoO), attention should be paid to the following:	23
5.5	In the case of digitally issued Chinese Certificates of Origin (CoO), attention should be paid to the following:	24
6	Certificate of Origin Form A	25
6.1	In the case of Certificates of Origin Form A, attention should be paid to the following:	26
7	Origin declaration in the context of free trade agreements	27
7.1.1	Countries with electronic query facility for approved exporters	29
8	Origin declaration EUR-MED	29
9	Origin declaration within the scope of GSP for developing countries	30
10	Statement on Origin within the scope of GSP for developing countries, system REX (Registered Exporter)	30

1 Movement Certificate (MC) EUR. 1

MOVEMENT CERTIFICATE EUR.1

	Exporter (Name, full address, country)		EUR.1	N° A	000.000	
		See notes overleafbefore completing this form			this form	
		2. Certi	tificate used in preferential trade between			
	Consignee (Name, full address, country) (Optional)		and			
		(fr.se/	ta ppopiale count	les, group of countries	or territories)	
		coun territ the p cons	ntry, group of atries or cory in which products are dered as nating		oup of countries of destination	
	6. Transport details (Optional)	7. Rem	arks	•		
Du .						
Ifgoods are not packed, indicate number of attides or state "in bulk" as appropriate.	tem number; marks and numbers; numbers; numbers; number; description of goods	er and kind	of packages	9. Gross weight (kg)or other measure (l.m²,etc.)	10. Invoices (Optional)	
	11. COMPETENT GOVERNMENTAL AUTHORITY ENDORSEMENT		l	TION BY THE EXP		
2) Complete onlywhere the	Declaration certified Sta Export document ⁽²⁾ FormNo	тр	described	dersigned, declare above meet the co e of this certificate.	that the goods onditions required	
regulations of the exporting	From					
country or	Issuing country or territory		Place and date:			
territory require.						
·	Date					
	(Signature)			(Signature)		

Requirements: see the current rules of origin for the respective agreement in R-30

1.1 General

In the case of an MC EUR.1, attention should be paid to the following:

- It shall have a printed green guilloche pattern background and it is in line with the formal requirements (e.g. no colour photocopies).
- It can also be filled in by hand, legibly (with a ballpoint pen or ink pen but not in pencil).
- Corrections have to be endorsed by the issuing authority.
- The customs declaration must be made while the MC EUR.1 is still valid.
- Box 1: information may be missing here if the name and address from box 12 are given.
- Box 2: the respective agreement (with Switzerland or with EFTA) needs to be indicated. In principle, the indication "EFTA" or "Switzerland" (CH) is allowed. For purely bilateral agreements Switzerland- EU, Switzerland-JP, Switzerland-FO and Switzerland-UK (both, GB and UK can be used here), the indication "EFTA" is not allowed. In the multi-lateral Agreement EFTA Central American States, the indication of a particular Contracting Party instead of "EFTA" or "Central American States" or "Central America" is also accepted. The indication "and the country stated in box 5", or similar, is accepted. The indication of "Liechtenstein" (LI) instead of "EFTA" or "Switzerland" (CH) is tolerated. EEA see point 1.2
- Box 4 must be filled in ("EFTA" as well as "CAS" [Central American States or Central America] are not valid designations, the individual country must be indicated); if the MC covers goods with different origins, then box 4 must refer to box 8, where the corresponding country of origin or group of countries (EU) of the individual goods must be indicated, abbreviations see here. For large consignments, please see the corresponding headings in "Article 17 description of goods on movement certificate EUR.1 or EUR-MED". For United Kingdom, both GB and UK can be used. EEA see point 1.2
- Boxes 2 and 4 (EU): The EU constitutes only as a whole the free trade partner of Switzerland. The indications European Union, European Community or European Economic Community as well as the corresponding abbreviations in all official languages of the EU are permitted (the German abbreviation "EG" is not a valid designation for the European Community, as it stands for Egypt). The indication of a single EU Member State will be tolerated.
- Box 5: The indication of "Liechtenstein" (LI) instead of "Switzerland" (CH) is tolerated.
- The SACU Member States (BW, LS, NA, ZA and SZ) form together a customs union.
 Products originating in these countries have to be designated as such of "SACU" origin.
 The indication of a single SACU Member State will be tolerated.
- An MC EUR.1 issued retrospectively must bear in principle the remark "ISSUED RETROSPECTIVELY" in box 7. However, a similar wording in the language of the issuing state can be accepted. In cases of doubt, this must be submitted to the customs office (exception: in the case of MC EUR.1 from Ecuador (EC), CL, MX, CO, PE, CR and PA this remark must be added in the respective language version of the agreement).
- Duplicates must bear the remark "DUPLICATE" in box 7. However, a similar wording in the language of the issuing state can be accepted. In cases of doubt, this must be submitted to the customs office (exception: in the case of MC EUR.1 from Ecuador (EC), CL, MX, CO, PE, CR and PA, this remark must be added in the respective language version of the agreement).
- Movement certificates EUR.1 which have been issued in Israel must have the name of the production location and its postal code in box 7 (or in the case of several articles, have the different production locations next to each article in box 8.

 For goods which come from the occupied Palestinian territories, including the Israeli settlements situated there, namely from the West Bank, the Gaza Strip, East Jerusalem and the Golan Heights, a granting of preferences within the scope of the free trade agreement between EFTA and Israel and the bilateral agricultural agreement between Switzerland and Israel is not permitted.

A list of the locations/industrial zones which do not benefit, together with the corresponding 5-digit and 7-digit postal codes, can be found at this link:

- For goods which come from one of the locations/industrial zones (postal code) on the list in section I, no preferential rates may be requested at the time of importation.
- Cases where goods come from one of the locations/industrial zones (postal code) on the list in section II must be submitted to the customs office before declaration to be assessed.
- Box 7: When applying the Transitional Rules of the PEM Convention, the words "TRANSITIONAL RULES" must be written in English
- Box 11 must have the original stamp from a <u>valid authority</u>.
- The endorsement stamp in box 11 must be legible (if in doubt, this must be submitted to the customs office).
- The date of issuance must be clear in box 11.
- Box 12 must be signed personally.
- MC must be issued by the authorities in the country from which the merchandise is exported (or from which the merchandise is delivered in the case of the transmission of origin within the framework of the Euro-Med system for merchandise which has not been customs cleared). The European Community is considered to be one country in this regard. MC endorsed by the authority in an EU member state other than the one from which the delivery is made are thus to be tolerated. The domicile of the exporter indicated in box 1 and 12 does not have to be in the member state where the MC was issued and can be in another member state or Switzerland.
- The 4-digits HS code is provided in box 8 for MC's issued in Mexico or Chile. If the indicated HS code is incorrect, the customs offices give more information. Without a consultation of a customs office a provisional assessment with the purpose to submit a MC with the correct HS code subsequently or an assessment without preference can be made.
- MCs from Türkiye can be issued both digitally (see points 1.1.1 and 2.1.1) and in the traditional way (MC form with original signature and stamp in box 11).

1.1.1 Digitally issued MC EUR. 1

General

The authenticity of a MC is to be checked via a link or QR code.

The following countries issue digital MC EUR. 1:

Country	Date of int- roduction	Remarks
Norway	15.12.2020	Form with green guilloche
		The MC is printed in colour
		The stamp and signature in box 11 are printed on the form
		 However, box 12 must be signed in manuscript by the exporter or his authorized representative (also applies to MC EUR. 1 issued within the EEA).
		Verification of authenticity: <u>Click here</u>
Türkiye	08.04.2020	Form with green guilloche
		The MC is printed in colour
		 The stamp and signature in box 11 is printed on the form, no signature is apposed
		 Box 12 must be signed in manuscript by the exporter or his authorized representative
		Verification of authenticity: By means of printed QR codes
UK	01.09.2021	Form colour with stamp in section 11 from Salford: white
		 Stamp and signature in box 11 are printed. Any imprint re- garding digital signatures in box 8 does not have to be taken into account.
		 In box 12, the name of the exporter is printed without signature
Italy	01.03.2021	Verification of authenticity: By means of printed QR code in the headline, alternatively: click here
Ukraine	01.01.2021	The stamp and signature in box 11 are applied by hand
		 In box 12, the name of the exporter is printed without signature.
		 Verification of authenticity: by means of printed QR code in box 7, alternatively: <u>click here</u>
Morocco	12.01.2021	Form with green guilloche
		 The stamp and signature in box 11 are printed on the form
		 Box 12 must be signed in manuscript by the exporter or his authorized representative
		 Verification of authenticity: <u>Click here</u>, <u>DUM-No.</u>: See box 11

1.2 Importation of goods within the scope of the EEA (European Economic Area) to Liechtenstein

Note:

The EEA Agreement is only applicable to goods of HS chapters 25-97 in trade between Liechtenstein and the other EEA contracting parties (EU, Norway and Iceland).

- It must be evident from box 2 that the goods are traded within the EEA. The indication "European Economic Area" or its abbreviation "EEA" as well as the EEA contracting parties are allowed.
- Box 4: The indication "European Economic Area" or its abbreviation "EEA" as well as the EEA contracting parties are allowed. The EU individual states are tolerated.
- Box 5: The indication "European Economic Area" or its abbreviation "EEA" as well as Liechtenstein or its abbreviation LI are allowed.
- Doubtful cases must be submitted to the customs office.

2 MC EUR-MED

M OVEMEN T CERTIFICATE

1. Exporter (Name, full address, country)	EUR-MED № A 000.000				
	See notes overleaf before completing this form.				
	Certificate used in preferential trade between				
3. Consignee (Name, full address, country) (Optional)	and				
	(Insert appropriate countries, groups of countries or territories)				
	4. Country, group of 5. Country, group of countries or territory of in which the products destination				
	are considered as originating				
	wagasang .				
6. Transport details (Optional)	7. Remarks				
	Cumulation applied with (reme of the country/courines)				
	No cumulation applied.				
	(Insert X in the appropriate box)				
8. Item number; marks and numbers; number and kind description of goods	of packages (10) 9. Gross mass 10. Invoices (Optional)				
	measure (titres, m²,				
	etc.)				
11. CUS TOMS END ORSEMENT Deckaration certified Export document 125	12. DECLARATION BY THE EXPORTER I, the undersigned, declare that the goods				
Form	described above meet the conditions required for the issue of this centificate.				
Of Customs office					
Esting country or territory	Place and date				
Place and date					
(Company)	(Signature)				
(Signature)					

In addition to the comments on MC EUR.1, the following should be noted:

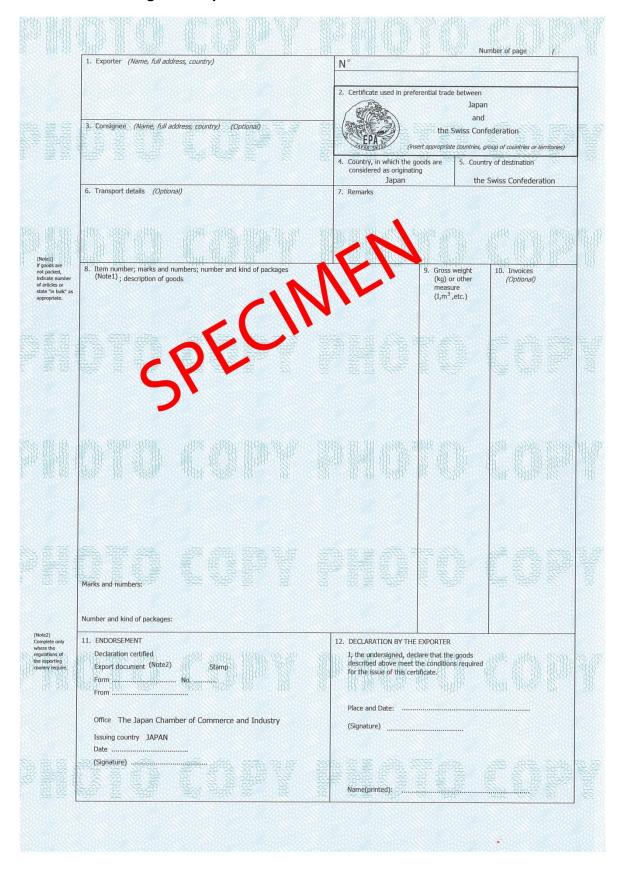
Cumulation remarks must be indicated in box 7.

2.1.1 Digitally issued MC EUR-MED

The following countries issue digital MC EUR-MED:

Country	Date of int- roduction	Remarks
Norway	15.12.2020	Form with green guilloche
		The MC is printed in colour
		The stamp and signature in box 11 are printed on the form
		 However, box 12 must be signed in manuscript by the exporter or his authorized representative (also applies to MC EUR. 1 issued within the EEA).
		Verification of authenticity: Click here
Türkiye	08.04.2020	Form with green guilloche
		The MC is printed in colour
		 The stamp and signature in box 11 is printed on the form, no signature is apposed
		Box 12 must be signed in manuscript by the exporter or his authorized representative
		Verification of authenticity: By means of printed QR codes
Italy	01.03.2021	Verification of authenticity: By means of printed QR code in the headline, alternatively: click here
Ukraine	01.01.2021	The stamp and signature in box 11 are applied by hand
		In box 12, the name of the exporter is printed without signature.
		 Verification of authenticity: by means of printed QR code in box 7, alternatively: <u>click here</u>

3 Certificate of Origin for Japan-Switzerland



The term "PHOTO COPY" appears only on photocopies [please see example above] and is not visible in original versions.

Requirements: see the current rules of origin for the respective agreement in R-30

In the case of a Japanese Certificate of Origin, attention should be paid to the following:

- It is in line with the <u>formal requirements</u> (e.g. no photocopies).
- It can also be filled in by hand, legibly (with a ballpoint pen or ink pen but not in pencil).
- It is in English.
- Corrections have to be endorsed by the issuing authority.
- The customs declaration must be made while the Certificate of Origin is still valid (within 12 months from the date of issue in box 11).
- Boxes 2 and 4 must be filled in.
- A Certificate of Origin issued retrospectively must bear the remark "issued retrospectively" in box 7.
- Duplicates must bear the serial numbers and the issue date of the original Certificate of Origin in box 7.
- If there is not enough space in box 8, reference may also be made to attached invoices, provided that the invoice numbers are noted in box 10 and the invoices have been stamped by the authorising office when they are attached to the Certificate of Origin.
- Box 11 must have the original stamp from a valid authority.
- Signatures in boxes 11 and 12 and the stamp in box 11 may be applied manually or electronically.

Certificate of Origin GCC-EFTA



CERTIFICATE OF ORIGN COUNTRY EMBLEM

1. Producer (1	lame & Full Add	dress.)	2. No: Date:						
			PREFERENTIAL CERTIFICATE OF ORIGN OF Gulf Cooperation Council Countries						
3. Exporter (Name & Pull Address)			4.Consignee (Name	e, Full Address	& Country)				
5. Country of	Final Destinatio	on.	6. Means of Trans Vessel's Name/Fli	port ght No.(option	al)				
7. Country of	Origin of Goods		8. Remarks.						
9. Marks & Numbers of	10. HS Code	11. Description of Goods	-	12.Quantity & Unite	13. weight (gross)	14. No. & Date of			
Packages.						invoice			
15 OPPTIFICATION	FION BUTTLE ICC	UING AUTHORITY	16. DECLARATION	BUTHE EVEND	PDD				
Signature:	HON BI THE ISS	CINGACIHORIT		BI THE EAFOR	IBK				
Date:			Signature:						
			Date:						
Stamp:									

In the case of a Certificate of Origin GCC, attention should be paid to the following:

- For certain GCC States a preferential assessment is not possible at present (provisional assessment possible). On the following Internet page, a corresponding comment is to be found for the concerned countries: <u>Authorities entitled to issue EUR. 1 /EUR-MED /Certificates of Origin</u> (The content is available in German, French or Italian).
- It is in line with the formal requirements (e.g. no photocopies).
- It can also be filled in by hand, legibly (with a ballpoint pen or ink pen but not in pencil).
- It is in English.
- Corrections have to be endorsed by the issuing authority.
- The customs declaration must be made while the Certificate of Origin is still valid (within 12 months from the date of issue in box 15).
- The GCC Member States (BH, QA, KW, OM, SA und AE) form together a customs union. Products originating in these countries have to be designated as such of "GCC" origin. The indication of a single GCC Member State will be tolerated.
- A Certificate of Origin issued retrospectively must bear the remark "issued retrospectively" in box 8.
- Duplicates must bear the remark "duplicate" and the serial numbers as well as the issue date of the original Certificate of Origin in box 8.
- Box 10: The 6-digits HS code is provided. If the indicated HS code is incorrect, the customs offices give more information. Without a consultation of a customs office a provisional assessment with the purpose to submit a Certificate of Origin with the correct HS code subsequently or an assessment without preference can be made.
- Box 15 must have the original stamp from a <u>valid authority</u>.
- Signatures in boxes 15 and 16 and the stamp in box 15 may be applied manually or electronically.

4.1.1 Digitally issued Certificates of origin from GCC

The following countries issue digital certificates of origin:

Country	Date of intro- duction	Remarks
United Arab Emir- ates	Will follow	Detailed information will follow Information on formal validity: See <u>Link</u>
Oman	01.02.2023	Detailed information will follow Information on formal validity: See Link
Saudi Ara- bia	01.09.2023	 The MC is printed in colour The stamp and signature in box 15 are printed on the form The signature in box 16 is printed on the form Verification of authenticity: By means of printed QR codes
Kuwait	Will follow	Detailed information will follow Information on formal validity: See Link

5 Certificate of Origin for China-Switzerland

5.1 China Council for the Promotion of International Tarde (CCPIT)

		ORI	GINAL					
L Expor	rter (Name, full adde	ess, country)	No. Certificate	Certificate of Origin used in FTA between				
2. Const	gnee (Name, full add	ess, compy)		C	HINA and			
				SWITZ	ZERLAND			
). Trans	port details (as fic a	(known)	4. Remarks	overleaf be	doe completing	bis firm		
Departur								
Vessel ()	Flight Train' Vehicle	No.						
Pret of is	saling							
hunord	ischarge							
5. Rome transfer (Max 2B)	6. Marks and numbers	Validiss	(Six digit code)	eritorion	10. Gross mass (kg) or other nearsure (libers, m ² , etc.)	II, heroices (Number and date)		
ii la bench		HE AUTHORISED BODY sin of control carried out, that the decid	13. DECLARATION The underlighed here are correct, that all th and that they comply FTA for the goods ex-	N BY THE by declare a goods we HINA y with the	EXPORTER It that the details a re produced in (one origin requirement	ury).		

CCPIT 00000000000

China Council for the Promotion of International Trade (CCPIT)

UK UK	IGINAL				
Exporter (Name, full address,country) Consignee (Name, full address,country)	Serial No.: Certificate No. CERTIFICATE OF ORIGIN ANALYSIS OF ORIGIN CERTIFICATE OF ORI				
	See notes overleaf before completing this form				
3.Transport details (as far as known) Departure Date Vessel / Flight / Train / Vehicle No.	4. Remarks				
Port of loading Port of discharge	1945年1959				
5. Item numbers (Max 20) 6. Marks and numbers goods 7. Number and kind of packages; De goods	secription of (Six digit code) S. HS code (Six digit code) Criterion other measure (liters, m², etc.) (Number and date)				
12. ENDORSEMENT BY THE AUTHORISED BODY It is hereby certified on the basis of control carried out that the declaration of the exporter is correct.	13. DECLARATION BY THE EXPORTER The undersigned hereby declares that the details and statement above are correct that all the goods were produced in CHINA (equity) and that they comply with the origin requirements specified in the FTA for the goods exported to SWITZERLAND (Importing country).				
Place and date, signature and stamp of authorised body	Place and date signature of authorised signatory				

Requirements: see the current rules of origin for the respective agreement in R-30

China Council for the Promotion of International Trade (CCPIT)

	OKI	GINAL				
Exporter (Name, full address Consignee (Name, full address)	Serial No. Certificate CERTIFICAT Associate Co. CERTIFICAT Associate Co. CERTIFICAT Associate Co. CERTIFICAT CO. CERTIFIC	No :	CHIN	between IA and SWIT	ZERLAND	
3.Transport details (as far as keep Departure Date Vessel / Flight / Train / Vehicle Port of loading Port of discharge		4. Remarks		ee notes o	werleaf before con	pleting this form
5. Item number (Max 50) 6. Marks and numbers	7. Number and kind of packages; Designoods Valid from the second of the		(Six digit code)	9. Origin criterion	10. Gross mass (kg) or other measure (liters,m².etc.)	11. Invoices (Number and date)
12. ENDORSEMENT BY THE A It is hereby certified on the basis of the exporter is correct.	THE SECOND SECON	13. DECLAR The undersignare correct,to	cHIN ey comply with goods exported	EXPORT clares that s were pro	ER the details and sta	pecified in the
Place and date, signature and sta	amp of authorised body	Place and d	late, signature of	authorise	d signatory	1 600

Requirements: see the current rules of origin for the respective agreement in R-30

China Council for the Promotion of International Trade (CCPIT)

OR	IGINAL
1. Exporter (Name, full address,country) 2. Consignee (Name, full address,country) 3. Transport details (as far as known) Departure Date Vessel / Flight / Train / Vehicle No. Port of loading Port of discharge	See notes overleaf before completing this form Senal No. Certificate of Origin used in FTA between CHINA and SWITZERLAND See notes overleaf before completing this form
5. Item numbers (Max 50) 6. Marks and numbers goods 7. Number and kind of packages: Design of Section (Max 50) 7. Number and kind of packages: Design of Section (Max 50) 7. Number and kind of packages: Design of Section (Max 50) 7. Number and kind of packages: Design of Section (Max 50)	(Six digit criterion mass (kg) or (Number and other measure date) (liters,m³,etc.)
12. ENDORSEMENT BY THE AUTHORISED BODY It is hereby certified on the basis of control carried out that the declaration of the exporter is correct.	13. DECLARATION BY THE EXPORTER The undersigned hereby declares that the details and statement above are correct that all the goods were produced in CHINA (country) and that they comply with the origin requirements specified in the FTA for the goods exported to SWITZERLAND (Importing country)
Place and date, signature and stamp of authorised body	Place and date signature of authorised signatory

Requirements: see the current rules of origin for the respective agreement in $\underline{\text{R--}30}$

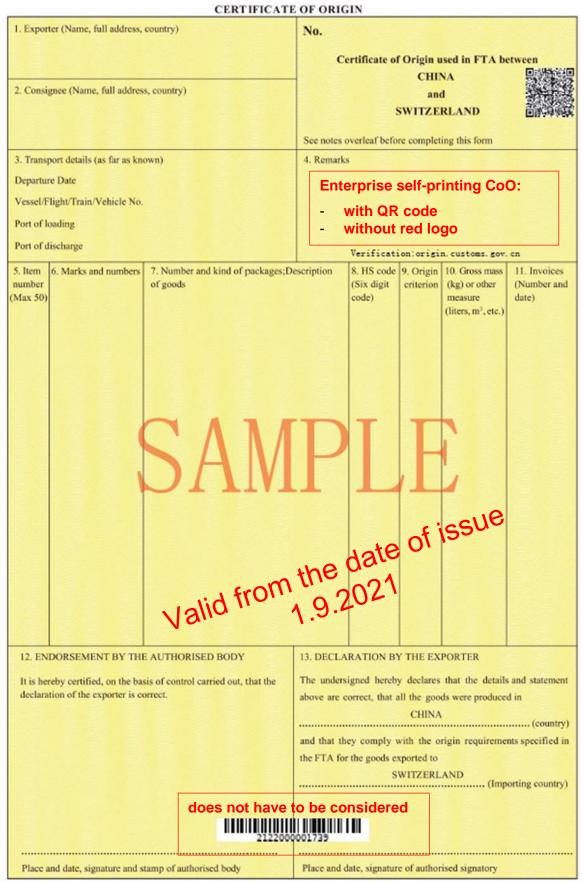
5.2 General Administration of Customs of the People's Republic of China (GACC)

CERTIFICATE OF ORIGIN						
1. Exporter (Name, full address,	country)	No.				
2. Consignee (Name, full address 3. Transport details (as far as knot Departure Date Vessel/Flight/Train/Vehicle No. Port of loading Port of discharge	Certificate of Origin used in FTA between CHINA and SWITZERLAND See notes overleaf before completing this form 4. Remarks					
5. Item number (Max 20) 6. Marks and numbers	7. Number and kind of packages; Do of goods SAM Valid until 31	PI.	(Six digit code)	criterion	measure (liters, m³, etc.)	11. Invoices (Number and date)
12. ENDORSEMENT BY THE It is hereby certified, on the bas declaration of the exporter is co	is of control carried out, that the	The undersabove are of and that the FTA for	ney comply r the goods e	y declares all the goo CHIN/ with the co exported to	s that the detail ods were produce A origin requirement	ed in (country) ents specified in
Place and date, signature and st	amp of authorised body	Place and	late, signatur	e of author	rised signatory	

184611004

Requirements: see the current rules of origin for the respective agreement in $\underline{\text{R-30}}$

General Administration of Customs of the People's Republic of China (GACC)



212200000002058

Requirements: see the current rules of origin for the respective agreement in R-30

General Administration of Customs of the People's Republic of China (GACC)

CERTIFICATE OF ORIGIN 1. Exporter (Name, full address, country) No. Certificate of Origin used in FTA between CHINA 2. Consignee (Name, full address, country) and SWITZERLAND See notes overleaf before completing this form Regular CoO: 3. Transport details (as far as known) Departure Date without QR code Vessel/Flight/Train/Vehicle No. red logo only visible under Port of loading UV light (does not need to be verified) Port of discharge 6. Marks and numbers 7. Number and kind of packages; Description 8. HS code 9. Origin 10. Gross mass 11. Invoices of goods (Six digit criterion (kg) or other (Number and (Max 50) code) measure date) (liters, m3, etc.) SAMPLE Valid from the date of issue 1.9.2021 12. ENDORSEMENT BY THE AUTHORISED BODY 13. DECLARATION BY THE EXPORTER The undersigned hereby declares that the details and statement It is hereby certified, on the basis of control carried out, that the declaration of the exporter is correct. above are correct, that all the goods were produced in CHINA and that they comply with the origin requirements specified in the FTA for the goods exported to SWITZERLAND Place and date, signature and stamp of authorised body Place and date, signature of authorised signatory

Requirements: see the current rules of origin for the respective agreement in R-30

5.3 Examples of invalid Certificates of Origin CCPIT and GACC Certificate of Origin issued by CCPIT



Certificate of Origin issued by GACC



5.4 In the case of manually issued Chinese Certificate of Origin (CoO), attention should be paid to the following:

- It shall have a printed guilloche pattern background and it is in line with the <u>formal requirements</u> (e.g. no colour photocopies).
- It is in English
- The customs declaration must be made while the CoO is still valid (within 12 months from the date of issue in box 12).
- Box 2: If the goods from China have been sold to a trade intermediary in a third country, his address could be indicated (The direct transport requirements have to be respected). The missing of a note will be tolerated.
- Boxes 5-11: If there is not enough space, an additional sheet with the relevant information will be tolerated. The additional sheet must undoubtedly be a part of the CoO.
- Box 8: The 6-digits HS code is provided. If the indicated HS code is incorrect, the customs offices give more information. Without a consultation of a customs office a provisional assessment with the purpose to submit a CoO with the correct HS code subsequently or an assessment without preference can be made.
- Box 9: The origin criterion is provided for every item

Origin Criterion	Insert in Box 9
The product is "wholly obtained" in the territory of a Party, as referred to in Article 3.3 or the product specific rules of Annex II.	WO
The product was produced in a Party exclusively from materials originating from one or both Parties conforming to the provisions of Chapter 3.	WP
The product is produced in the territory of one or both Parties, using non-originating materials that conform to the Product Specific Rules and other applicable provisions of Chapter 3.	PSR

- Box 12 must have the original stamp from the valid authority.
- Box 13 must be signed personally.
- A retrospectively issued CoO must bear the remark "ISSUED RETROSPECTIVELY".
 The free trade agreement does not stipulate in which box this remark must be mentioned.
- The certified copy shall bear the words either "CERTIFIED TRUE COPY of the original Certificate of Origin number ____ dated ____" or "DUPLICATE" together with the reference number and the date of issuance of the original CoO. The free trade agreement does not stipulate in which box this remark must be mentioned and that it must be validated with a stamp. Furthermore, it is not stipulated in which shape the "Duplicate" must be presented. It can be a certified copy or a new issued CoO.

For a CoO issued by GACC it is to be checked here on whether actually a CoO with these specifications has been issued (see "Certificate Info Search") or alternatively via a possibly attached QR code¹.

or

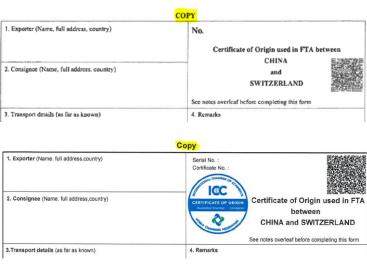
For a CoO issued by **CCPIT** it is to be checked <u>here</u> whether actually a CoO with these specifications has been issued or alternatively via a possibly attached QR code¹.

5.5 In the case of digitally issued Chinese Certificates of Origin (CoO), attention should be paid to the following:

For CoO of CCPIT, the following provisions shall apply retroactively for certificates issued from 6.1.2020².

The same conditions apply as for a manually issued CoO, except for:

- The CoO is printed on plain white paper. Therefore, the guilloche pattern background is not pre-printed but printed in the same process as the contents
- Signatures and stamps in boxes 12 and 13 are printed
- The back does not necessarily have to be printed
- Originals of digitally issued CoO can be accepted as well as reproductions with the identical content as the original³. Digitally issued CoO are always to be checked here (CCPIT) whether actually a CoO with these specifications has been issued (see "Certificate Info Search").
- However, forms following the subsequent samples (marked on the top with COPY instead of «CERTIFICATE OF ORIGIN») cannot be accepted. These forms are second print-outs.



¹ In cases where the requested CoO is not available in the database, the consignment can be provisionally declared and the validity has to be clarified by the declarant or another person in China.

² Provisional customs assessments drawn up because a digitally issued CoO only was available can be completed on request with presentation of the valid digitally issued CoO without a fee.

³ This regulation is valid retrospectively. Provisional customs assessments drawn up because of these problems can be completed on request with presentation of the original or a reproduction without a fee.

6 Certificate of Origin Form A

Goods consigned from (Exporter's business name, address, country)	Reference No A 426118	
Goods consigned to (Consignee's name, address, country)	GENERALIZED SYSTEM OF PREFERENCES CERTIFICATE OF ORIGIN (Combined declaration and certificate)	
	FORM A	
	Issued in(country) See Notes overleaf	
3. Means of transport and route (as far as known)	4. For official use	
5. Item 6. Marks and numbers of ber packages	8. Origin criterion (see Notes overleaf) 9. Gross weight or other of invoices overleaf)	
587		
11. Certification	12. Declaration by the exporter	
It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.	The undersigned hereby declares that the above details and statements are correct; that all the goods were produced in	
	(country) and that they comply with the origin requirements specified for those goods in the Generalized System of Preferences for goods exported to	
	(importing country)	
Place and date, signature and stamp of certifying authority	Place and date, signature of authorized signatory	

05.06 10000 3060-06/860152378

6.1 In the case of Certificates of Origin Form A, attention should be paid to the following:

- On the <u>REX country list</u> it will be apparent if a Form A is still usable for a developing country or since when the Form A will **not** be accepted anymore. For developing countries which are not listed the Form A is still accepted as a valid proof of origin.
- It must be furnished with an overprint which renders all chemical or mechanical forgeries visible (e.g., no colour photocopies).
- The text on the back is in line with the required text.
- Completed in English or French (German and Italian are also acceptable).
- The customs declaration must be carried out while the Form A is still valid (within 10 months from the date of issue in box 11).
- Corrections have to be endorsed by the <u>issuing authority</u>.
- If the goods have been sold to a trade intermediary in a third country, his address could be indicated in box 2 instead of the address of the Swiss consignee (the direct transport requirements have to be respected).
- A retrospectively issued Form A must bear the remark "DÉLIVRÉ A POSTERIORI" or "ISSUED RETROSPECTIVELY" in box 4.
- Duplicates of Form A must bear the remark "DUPLICATA" or "DUPLICATE" in box 4, and the number and date of issue of the original Form A. The period of validity starts on the issuing date of the original.
- Box 8 must be completed with either
 - o "P" or
 - "W" with a four-digit harmonised system number.
- Box 11 must have the original stamp from a <u>valid authority</u> and must be signed by hand.
- The endorsement stamp in box 11 must be legible (if in doubt, this must be submitted to the customs office).
- The date of issuance must be clear in box 11.
- Box 12 must be completed and signed by hand.
- The country of production must match the country of issue in Form A (exception: in the case of cumulation within the framework of ASEAN countries).
- In general, Switzerland (including Liechtenstein) must be indicated as the importing country. The European Union (EU) or one of its member states or Norway will also be accepted.
- Replacement Form A Certificates of Origin authorised in an EU country must have an address in the same EU country in box 12, so long as this isn't the same as in box 1.
- 1.1.2021- Brexit: Regulations for UK, see paragraph 10 below

7 Origin declaration in the context of free trade agreements

(see the current rules of origin for the respective agreement in R-30) and especially the explanatory notes and rules of procedure, Importation, digit 2.2)

In the case of origin declarations in the context of free trade agreements, the following should be observed:

- Origin declarations (OD) must have been drawn up by the exporter (exceptions: free trade agreements with Canada, the Republic of Korea, Singapore, Hong Kong, the Philippines and Indonesia); in the free trade agreement EFTA-GCC is no OD foreseen for the present, also for approved exporters
- With the exception of those of approved exporters, origin declarations must be signed personally (exception: free trade agreement with Canada).
- As of 01.09.2021, OD's from UK issued in the normal procedure may be issued electronically on condition, that they are digitally signed with an electronic signature or an electronic signature or an identification code.
- Origin declarations in the context of agreements with Japan and China may not be made by hand.
- For Origin Declarations from China issued by approved exporters until 31.12.2021, the first 5 digits of the 23-digit serial number must match the authorisation number (so-called Registration No.). As of the date of issue 01.01.2022, the first 9 digits of the 21-character serial number (CNxxxxxxxx...) must match the authorisation number. Due to a transitional period, OD's with a 23-digit serial number can still be accepted until the date of issue 31.03.2022.
- If the name of the signatory is missing in an origin declaration in print, the name may be added after consulting the issuer of the origin declaration, so long as the customs office:
 - did not notice during the formal examination of the accepted customs declaration and accompanying document that the name was missing and
 - has not yet issued an assessment decision.

In the case of a clearly legible signature, the name of the signatory may be missing in print (if in doubt, this must be reported to the customs office).

- The text must be in line word for word with the <u>respective agreement</u> (clear typing errors will be tolerated (if in doubt, this must be submitted to the customs office).
- The customs declaration must be made within the period of validity of the origin declaration.
- OD's issued under the Transitional Rules of the PEM Agreement require an <u>additional</u> note within the text
- The number of the approved exporter must be listed in the right place on the origin declaration.
- The authorisation numbers of approved exporters from Indonesia have 18 characters and start with "IDREX". Furthermore, OD's of approved exporters have to bear a QR code with the reference to "CEPA". The QR code doesn't have to be verified.
- If the goods to which the origin declaration refers have their origin in various countries or areas, then the names or <u>official abbreviations</u> of the countries concerned must be indicated in the origin declaration, or reference must be made to corresponding information in the invoice.

The name or the official abbreviation of the country concerned must be indicated in the invoice or equivalent document for each item (exception: in the free trade agreement with Canada the text is firmly stipulated).

- "European Economic Area" and its abbreviation EEA and its translations into the other languages of the member states of the EEA are only valid if the consignment is destined for Liechtenstein. If this indication of origin is connected to other countries (e.g. EU/EEA or EEA/DE), then the origin declaration is only valid if reference is made in the origin declaration to the individual articles, and it clearly indicates which goods originate in the EEA, EU or Germany, etc. (if in doubt, this must be submitted to the customs office).
- Origin declarations must be issued by a company domiciled in the contracting party of a
 free trade agreement from which the merchandise is exported (respectively from which
 the merchandise is delivered in the case of the transmission of origin within the
 framework of the Euro-Med system for merchandise which has not been customs
 cleared). The European Community is to see as a whole contracting party. Therefore,
 origin declarations issued by a company in an EU member state other than the one from
 which the delivery is made are thus to be tolerated.
- Origin declarations may be set out on photocopied invoices, provided that they are signed originally by hand. Exceptions concerning signature: approved exporters and the free trade agreement with Canada.
- Origin declarations may be placed on the back of the invoice.
- The origin declaration may be made out on a separate sheet from the invoice provided that the sheet is obviously part of the invoice: an additional form may not be used.
- If the origin declaration is attached in the form of a label, the exporter's stamp or signature should cover both the label and the invoice.
- The EU constitutes only as a whole the free trade partner of Switzerland. The indications European Union, European Community or European Economic Community as well as the corresponding abbreviations in all official languages of the EU are permitted (the German abbreviation "EG" is not a valid designation for the European Community, as it stands for Egypt). The indication of a single EU Member State will be tolerated.
- "EFTA" is not valid as a designation; the individual country must be indicated. (exception: in the free trade agreement with Canada the country of origin is firmly stipulated with "Canada/EFTA" ["Canada/AELE"] respectively for agricultural basic products "Canada/Switzerland ["Canada/Suisse"])
- The SACU Member States (BW, LS, NA, ZA and SZ) form together a customs union. Products originating in these countries have to be considered as such of "SACU" origin. The indication of a single SACU Member State will be tolerated.
- In the case of origin declarations completed in Israel, the name of the production location
 must appear together with the postal code alongside the word "Israel". In the case of
 several articles with different production locations, alongside the word "Israel" reference
 must be made to the articles and their production location and postal code must be
 mentioned next to each article.
- For goods which come from the occupied Palestinian territories including the Israeli
 settlements situated there, namely from the West Bank, the Gaza Strip, East Jerusalem
 and the Golan Heights, a granting of preferences within the scope of the free trade
 agreement between EFTA and Israel and the bilateral agricultural agreement between
 Switzerland and Israel is not permitted.

A list of the locations/industrial zones which do not benefit, together with the corresponding 5-digit and 7-digit postal codes, can be found at this <u>link</u>:

 For goods which come from one of the locations/industrial zones (postal code) on the list in section I, no preferential rates may be requested at the time of importation.

- Cases where goods come from one of the locations/industrial zones (postal code) on the list in section II must be submitted to the customs office before declaration to be assessed.
- Origin declarations from the EU in which a REX number is also indicated, are accepted, provided that the wording of the declaration complies with the PEM Convention, the formal requirements are met and there is no other contradictory information on the commercial document.

7.1.1 Countries with electronic query facility for approved exporters

The authorisation numbers of the following approved exporters can be checked at the following links:

Country	Link	Remarks
China	Link EA China	
Republic of Ko- rea	Link EA Korea	 The authorisation numbers have 11 digits and must be structured as follows:
		000(3 digits)-00(2 digits)-100000(6 digits) (company-specific approved exporter)
		000(3 digits)-00(2 digits)-200000(6 digits) (product-specific approved exporter)
Island	Link EA Island	
Norway	Link Norway	
Georgia	Link EA Georgia	

8 Origin declaration EUR-MED

In addition to origin declarations, attention should be paid to the following in the context of free trade agreements:

- The remark concerning cumulation must be indicated in English.
- In the case of consignments consisting of goods which obtained origin on the basis of cumulation or on another basis, an origin declaration EUR-MED should distinguish in a clear and satisfactory manner between the following two categories. For example:
 - In cases where a declaration is placed on the invoice or on another commercial document which lists the products, the relevant statements "Cumulation applied with..."
 or "No cumulation applied" should be inserted next to each item on the invoice, or
 - In cases where a declaration is placed on documents which do not list the products, these documents shall be complemented with an indication "See the invoice". The exporter must insert next to each item on the invoice the statements "Cumulation applied with ..." or "No cumulation applied".

9 Origin declaration within the scope of GSP for developing countries

(Legal basis)

In the case of origin declarations, attention should be paid to the following:

- On the <u>REX country list</u> it will be apparent if such a origin declaration is still usable for a
 developing country or since when this origin declaration will **not** be accepted anymore.
 For developing countries which are not listed is origin declaration is still accepted as a
 valid proof of origin.
- The text must comply with the provisions word for word;
 - Obvious typing errors will be tolerated (if in doubt, this must be submitted to the customs office)
 - Instead of the indication of Suisse/Switzerland, the European Union (EU) or one of its member states or Norway can also be accepted.
- They must have been drawn up by the exporter himself.
- They must be signed personally.
- They must have been issued in the country from which the goods are being exported.
- Origin declarations from Vietnam are invalid.

10 Statement on Origin within the scope of GSP for developing countries, system REX (Registered Exporter)

(Legal basis)

Statements on Origin should not be confused with origin declarations of aforementioned point 9.

The developing countries participating in the REX system and the proofs of origin applicable to them are shown in the REX country list.

In the case of Statements on Origin, attention should be paid to the following:

- The text must comply with the provisions word for word;
- Obvious typing errors will be tolerated (if in doubt, this must be submitted to the customs office)
- Instead of the indication of Suisse/Switzerland, the European Union (EU) or one of its member states or Norway will also be accepted.
- The Spanish version (Annex 22-07) can also be accepted.
- o a missing "the" at the yellow marked position in the text below can be accepted

Englische Fassung:

The exporter ...⁵ (Number of Registered Exporter ...) of the products covered by this document declares that, except where otherwise clearly indicated, these products are of ...⁶ preferential origin according to the rules of origin of the Generalised System of Preferences of Switzerland and that the origin criterion met is⁷

The indication of the exporter and his complete address or a reference to another place of the commercial document can be waived, provided that the name and the complete address of the exporter can be seen from the commercial document and there are no contradictory indications (e.g. other address/company name in the REX-DB, see last point).

- Only Registered Exporters are entitled to make out Statements on Origin for consignments including originating products with a value of more than CHF 10,300 (ex-works-price)
- A hand-written signature is not required
- The customs declaration must be made within the period of validity of the Statement on Origin (12 months since the date of drawing up)
- The fulfilled origin criterion must be indicated with
 - o "P" or
 - o "W" with a four-digit HS-number
- Statements on Origin may also be issued retrospectively for consignments already exported before the exporter's REX registration. In such cases, the Statement on Origin must bear a date which is not earlier than the registration date. The date of the commercial document bearing the Statement on Origin may differ from this date and can be earlier than the registration date.
- The validity of the authorisation numbers of the Registered Exporters can be checked.

Statements on Origin must correspond to the information on this database.

- If the goods have been sold to a trade intermediary in a third country, his address could be indicated in the commercial document instead of the address of the Swiss consignee (the direct transport requirements have to be respected).
- Replacement Statements on Origin issued in an EU member state, NO or TR have to contain the following information:
 - the note "Attestation de remplacement" or "Replacement statement";
 - all particulars of the re-exported products taken from the initial statement on origin made out in the developing country or from the initial Form A issued in the developing country;
 - the date on which the initial statement on origin was made out in the developing country or the initial Form A was issued in the developing country;
 - the particulars required in accordance with the statement on origin made out in the developing country or the Form A Certificate of Origin issued in the developing country, including references to any cumulation;
 - o the name, address and REX number of the re-exporter;
 - the name and address of the consignee of the goods; and
 - the date and place of making out of the replacement statement on origin.
 - The text must comply with the provisions word for word (see above);

- Replacement Statements on Origin issued in UK have to contain the following information:
 - o the note "Attestation de remplacement" or "Replacement statement";
 - all particulars of the re-exported products taken from the initial statement on origin made out in the developing country or from the initial Form A issued in the developing country;
 - the date on which the initial statement on origin was made out in the developing country or the initial Form A was issued in the developing country;
 - the particulars required in accordance with the statement on origin made out in the developing country or the Form A Certificate of Origin issued in the developing country, including references to any cumulation;
 - o the name, address and UK-EORI number of the re-exporter (<u>UK EORI CHECKER</u>);
 - o the name and address of the consignee of the goods; and
 - the date and place of making out of the replacement statement on origin.

The exporter of the products covered by this document (customs identification No..... (1)) declares that, except where otherwise clearly indicated, these products are of (2) preferential origin in accordance with the rules of origin of the Generalised Scheme of Preferences of the UK and that the origin criterion met is (3). (Place and date (4))

(Name and signature of the exporter)

- (1) UK re-consignors re-exporting goods to Switzerland should enter their Economic Operators Registration and Identification (EORI) number.
- (2) Enter the origin of the goods
- (3) Products wholly obtained, enter the letter "P"; Products sufficiently processed: enter the letter "W" followed by a heading of the Harmonised System (example "W" 9618).
- (4) This may be omitted if included in the document itself.